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PATENT
ATTORNEY DOCKET NO. SHIM1100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

Applicants: Asakawa and Hasegawa
Serial No.: 09/762,641
IA Filing Date: August 10, 1999
Title: RNA VIRUS VECTOR WITH CONTACT INFILTRATION CAPABILITY

Art Unit: Unassigned AUG 26 2002
Examiner: Unassigned

TECH CENTER 1600/2900

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application, please find:

- (1) An Information Disclosure Statement (2 pages);
- (2) A Form PTO-1449 (1 page);
- (3) Two (2) References; and
- (4) Return postcard;

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, August 16, 2002, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Jason Berry

Name of Person Mailing Paper

Signature

Jason Berry

BEST AVAILABLE COPY

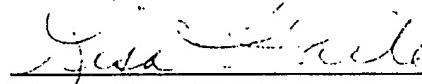
In the Application of
Asakawa and Hasegawa
Application Serial No.: 09/762,641
Page 2

PATENT
Attorney Docket No.: SHIM1100

This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 5-16-02


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#11

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INFORMATION DISCLOSURE STATEMENT

TECH CENTER 1600/2900

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of each is enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

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Respectfully submitted,

Date: 3/16/03

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